

Simpson Thacher Adds Prominent Partners to Registered Funds Practice

New York/Washington, D.C.—March 2, 2022—Simpson Thacher & Bartlett LLP announced today that Nathan Briggs and Nicole Simon have joined as Partners in the Firm’s Registered Funds Practice. Nathan is based in the Firm’s Washington, D.C. office and Nicole is based in New York.

“Asset managers, including private fund sponsors, are increasingly pursuing new strategies to enable access by a wider group of investors,” said Bill Dougherty, Chair of Simpson Thacher’s Executive Committee. “The addition of Nathan and Nicole reflects Simpson Thacher’s commitment to remaining the firm of choice for sponsors seeking to develop innovative, first-of-their-kind vehicles in the retail space and we are very pleased to welcome them to the Firm.”

Nathan advises registered investment companies, investment advisers and independent directors across the full spectrum of formation, transactional, governance and compliance issues, ranging from new product offerings and proxy contests to fund mergers and consolidations. He advises closed-end funds, interval funds, tender offer funds and many others in connection with the establishment, registration, reorganization and operation of retail and institutional investment products. He joins the Firm from Ropes & Gray LLP.

Nicole counsels a variety of clients in the asset management industry, including registered investment companies, real estate investment trusts (“REITs”), business development companies and investment advisers on the broad scope of traditional and novel regulatory and practical considerations relevant to their initial formation and continued operations. Her experience includes a particular focus on registered and unregistered products that offer retail access to alternative investments. Nicole also regularly advises registered and exempt commodity pool operators and commodity trading advisors on the applicability, scope and interpretation of federal securities and commodities regulation. She joins from Stradley Ronon Stevens & Young LLP.

“Nathan and Nicole each have remarkable experience in assisting a wide range of asset managers to develop cutting-edge products that serve retail investors and they will be an excellent complement to our market-leading Registered Funds Practice,” added Michael Wolitzer, Head of Simpson Thacher’s Investment Funds Practice. “Their multidisciplinary expertise further strengthens our ability to provide sponsor clients with state-of-the-art advice as they expand their investment offerings.”

With a team comprising seven partners and five counsel in the United States and additional complementary capabilities in London, Simpson Thacher is routinely sought by private sponsors and alternative asset managers to translate private markets instruments into perpetual capital vehicles designed for retail investors, often involving groundbreaking products and unprecedented regulatory issues and exemptive relief. The addition of Nathan and Nicole is the latest example of growth in the Firm’s Registered Funds Practice, which has seen the elevation of three additional partners in the United States within the last three years—Christopher Healey, Ryan Brizek and Benjamin Wells. Nathan, Nicole, Chris, Ryan and Ben have each been named as “Rising Stars” by *Fund Intelligence* at its annual Mutual Fund Industry & ETF Awards.

“I have known and worked across from both Nathan and Nicole for over a decade. They are both incredibly talented lawyers who are stars in the industry,” said Rajib Chanda, Head of the Firm’s Registered Funds Practice. “I could not be more pleased to welcome them to the Simpson Thacher team.”

Simpson Thacher has a dynamic, multidisciplinary Registered Funds Practice that encompasses the full range of the investment management business, with a focus on alternative asset managers seeking to access retail investor channels and advising on novel regulatory policy and strategy matters in the United States and Europe. Working closely with colleagues across the areas of securities, mergers and acquisitions, banking, tax and ERISA, the Registered Funds Practice advises U.S.-registered closed-end funds, interval funds, tender offer funds, business development companies and investment advisers and boards of directors, as well as REITs and other permanent capital vehicles and vehicles offered to individual investors throughout the world, across the entire spectrum of formation, governance, regulatory and transactional matters.

About
Simpson Thacher

Simpson Thacher & Bartlett LLP (www.simpsonthacher.com) is one of the world’s leading international law firms. The Firm was established in 1884 and has more than 1,000 lawyers. Headquartered in New York with offices in Beijing, Brussels, Hong Kong, Houston, London, Los Angeles, Palo Alto, São Paulo, Tokyo and Washington, D.C., the Firm provides coordinated legal advice and transactional capability to clients around the globe.